


02/05/02

J101 U.S. PTO
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UTILITY PATENT APPLICATION TRANSMITTAL <small>(For new nonprovisional applications under 37 CFR 1.53(b))</small>		Attorney Docket No.	3781-001-27
		First Inventor or Application Identifier	Frank Q. Li et al.
		Title	POLYMERIC CONJUGATES FOR DELIVERY OF MHC-RECOGNIZED EPITOPES VIA PEPTIDE VACCINES
APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents</small>		ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231	
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing)</p> <p><input checked="" type="checkbox"/> Applicant claims small entity status.</p> <p>2. <input checked="" type="checkbox"/> Specification Total Pages 65</p> <p>3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) Total Sheets 5</p> <p>4. <input checked="" type="checkbox"/> Oath or Declaration Total Pages 3</p> <p>a. <input checked="" type="checkbox"/> Newly executed (original)</p> <p>b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. §1.63(d)) (for continuation/divisional with box 16 completed)</p> <p>5. <input type="checkbox"/> Incorporation By Reference (usable if box 4B is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4B, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p>		ACCOMPANYING DOCUMENTS <p>6. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>7. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement (when there is an assignee) <input type="checkbox"/> Power of Attorney</p> <p>8. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>9. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>10. <input type="checkbox"/> Preliminary Amendment</p> <p>11. <input checked="" type="checkbox"/> White Advance Serial No. Postcard</p> <p>12. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>13. <input type="checkbox"/> Request for Priority</p> <p>14. <input type="checkbox"/> List of Inventors' Names and Addresses</p> <p>15. <input type="checkbox"/> Other:</p>	
<p>16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:</p> <p><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application no.: Prior application information: Examiner: Group Art Unit:</p> <p>Amend the specification by inserting before the first line the sentence:</p> <p>This application is a <input type="checkbox"/> Continuation <input type="checkbox"/> Division <input type="checkbox"/> Continuation-in-part (CIP) of application Serial No. Filed on</p> <p><input checked="" type="checkbox"/> This application claims priority of provisional application Serial No. 60/310,498 Filed August 8, 2001</p>			
18. CORRESPONDENCE ADDRESS Supervisor, Patent Prosecution Services PIPER MARBURY RUDNICK & WOLFE LLP 1200 Nineteenth Street, N.W. Washington, D.C. 20036-2412 Telephone No. (202) 861-3900 Facsimile No. (202) 223-2085			
Name	Steven B. Kelber	Registration No.	30,073
Signature		Date	February 5, 2002
Telephone	202-861-3900	Registration No.	

FEE TRANSMITTAL

Docket No. 3781-001-27
 Serial No. NEW PATENT APPLICATION
 Filing Date HEREWITH
 Inventor(s) Frank Q. Li et al
 Group Art Unit

TOTAL AMOUNT OF PAYMENT \$452.00

Examiner

1. ☒ Applicant claims small entity status.

☒ Charge any **UNDERPAYMENT** or credit any **OVERPAYMENT** in the indicated fees to Deposit Account No. 50-1442.

☐ Charge the indicated fees to Deposit Account No. 50-1442.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

2. ☒ Check enclosed.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	
Fee Code	Fee (\$)	Fee Code	Fee (\$)		Fee Paid
101	740	201	370	Utility filing fee	370.00
105	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) \$370.00

EXTRA CLAIM FEES

claims	14	-	20*	=	0	x	\$9	=	0
ind. claims	4	-	3*	=	1	x	\$42	=	42

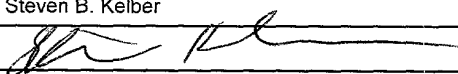
☐ Multiple Dependent Claims \$140 =

Large Entity		Small Entity		Fee Description	
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
109	84	209	42	*Reissue independent claims over original patent	
110	18	210	9	*Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) \$42.00

* or number previously paid, if greater, For Reissues, see above

SUBTOTAL (3) \$40.00

Name	Steven B. Kelber	Registration No.	30,073
Signature		Date	February 5, 2002
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